



Managing Third Party Performance and Risk

RSA Archer Vendor Management 4

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Thursday, July 11th
11:00 AM/EST

U.S./Canada Toll-Free
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Business Needs Drive Third Party Sourcing

- Significant business processes are delegated to third-party providers
- As the number of vendor relationships increase, they become more difficult to manage
- To preserve successful operations, companies must:
 - Maintain accurate vendor data
 - Assess & manage risk
 - Ensure vendors comply with corporate policies and regulatory requirements
 - Assess vendor performance
 - Keep vendor management costs down



Third Party Supplier Pain Points

- Vendor relationship information resides in silos across the organization
- Inconsistent due diligence selection process
- Risk evaluation & monitoring is not adequate resulting in surprises & non-compliance with company policies & regulations
- Lack of visibility & oversight of vendor performance



RSA Archer Vendor Management

Manage third-party relationships, assess risk, ensure compliance, analyze performance and perform due diligence

Centralize Vendor Profiles

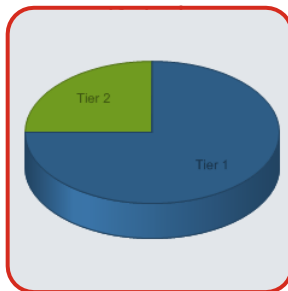
Vendor Summary

Vendor ID: Vendor-00007
 Vendor Name: Development Source, Inc.
 Vendor Tier: Tier 1
 Relationship: Development Source is a top tier
 Overview: services to the company for se

Vendor Details

Vendor Since: 6/15/2001
 Primary Industry: Technology
 Secondary Industry: Computer Software
 DUNS Number: 36589712345
 NAICS Code: 4568783
 SIC Code: 3215698
 Description: Development Source provides

Manage Relationship



Analyze Business Impact

Business Impact Analysis

Does this vendor provide customer facing products?
 Does the vendor have access to customer data?
 Does the vendor have access to employee data?
 Does the vendor have access to partner data?
 Does this vendor have access to non-public personal information?
 Does this vendor have access to Company internal data?
 Does this vendor have physical access to Company facilities?
 Does the vendor have logical access to systems?
 Does this vendor utilize offshore facilities?
 Does this vendor provide products or services essential to the business?
 What products or services are supplied by this vendor?
 What is the total annual expected spend with this vendor?



Perform Assessments

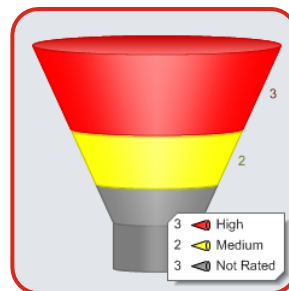
Question Name: SIG v4: A.01.05
 Question: Is there a process to monitor a vendor's performance?
 Answer: No 20%
 Answer: Yes (Correct)

Question Name: SIG v4: A.01.06
 Question: Are controls identified for each vendor?
 Answer: No 40%
 Answer: Yes (Correct)

Manage Deficiencies



Report on Vendor Risk



“ We selected RSA Archer based on its extensive footprint in the financial services sector and the unparalleled capabilities it provides for GRC process automation and third-party risk assessment. ”

CISO, Transportation Client



Vendor Management 4: What's New

- Document sophisticated vendor relationships - 3 level hierarchy
- Better understand 4th Party exposures
- Manage Master Services Agreement / Contract Relationships and assess adequacy of contract risk transfer
- Assess vendor's financial viability and impact on risk
- Assess risks of each engagement across multiple risk categories
 - Compliance / Litigation
 - Financial
 - Information Security
 - Resiliency
 - Reputation
 - Strategic
 - Sustainability
 - 4th Party Governance
- Monitor Vendor performance against SLA metrics
- Evaluate and monitor adequacy of vendor's Certificates of Insurance
- Integrate with other Archer ERM framework elements

Requirements

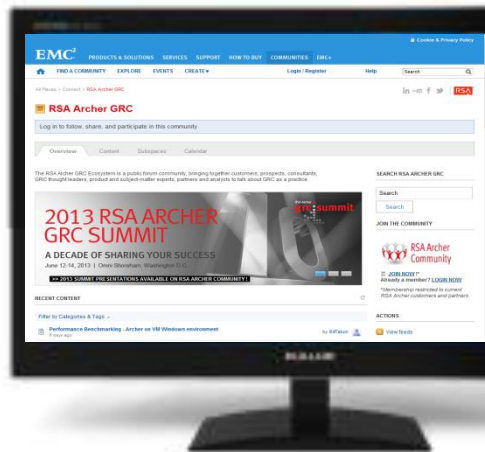
- Archer Platform 5.3.1
- Solutions
 - Vendor Management 4
 - Enterprise Management
 - BCM (Optional, to use BIA process criticality ratings)

Demonstration



Where will your Vendor Management program begin?

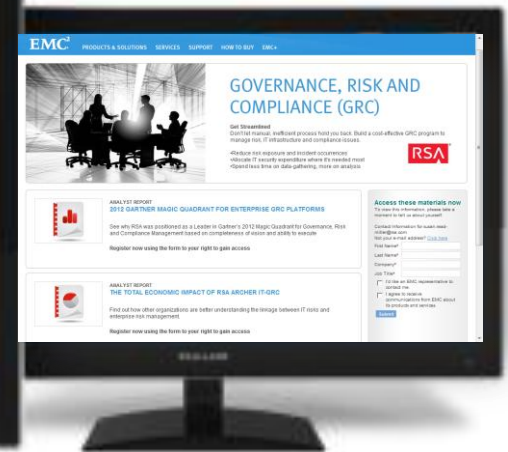
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Learn from GRC Experts



Learn how to Automate Vendor Management



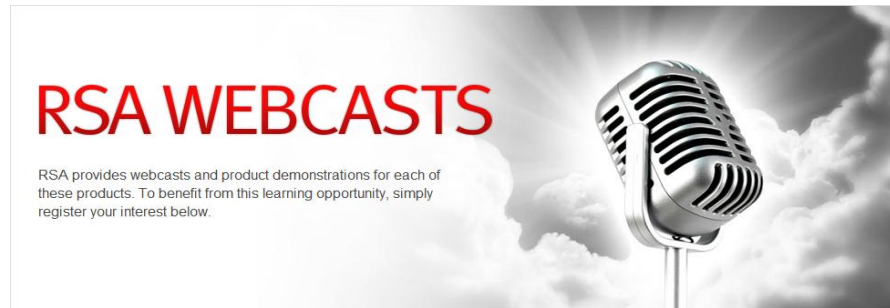
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Upcoming RSA Archer Webcasts

- July 18 at 11ET: Federal A & A and Continuous Monitoring – FISMA Compliance
- July 23 at 11ET: PCI Compliance – A Systematic Approach
- Register on the RSA public website or Archer Community <http://www.emc.com/campaign/global/rsa/rsa-webcast.htm>
- Webcast replays are also on public website or Community





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